

MS# 317975.03 ; MSFT 5347

Approved for use through 07/31/2006 OMB 0351-0031
U.S. Patent and Trademark Office, U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Steve O'Halloran et al.Application No./Patent No.: 09/886,687 Filed/Issue Date: June 21, 2001Entitled: SOFTWARE AND HARDWARE COMPONENT AUDIT AND INVENTORY MANAGEMENT SYSTEMAssetMatrix, Inc., a Corporation

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. ☒ An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel 011951, Frame 0291, or for which a copy thereof is attached.

OR

- B. ☐ A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

1. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
2. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.
3. From: _____ To: _____
The document was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

☐ Additional documents in the chain of title are listed on a supplemental sheet.

- ☐ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., a true copy of the original assignment document(s)) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

/TAN-CHI YUAN/

Signature

2007-01-08

Date

Tan-Chi Yuan

Printed or Typed Name

314/231-5400

Telephone Number

Authorized Attorney

Title

This collection of information is required by 37 CFR 3.73(b). The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to take 12 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



UNITED STATES DEPARTMENT OF COMMERCE
Patent and Trademark Office
ASSISTANT SECRETARY AND COMMISSIONER
OF PATENTS AND TRADEMARKS
Washington, D.C. 20231

SEPTEMBER 13, 2001

PTAS

WATTS, HOFFMANN, FISHER & HEINKE CO. LPA
PAUL A. SERBINOWSKI
SUITE 2850
100 ERIEVIEW PLAZA
CLEVELAND, OHIO 44114-1824



101772895A

UNITED STATES PATENT AND TRADEMARK OFFICE
NOTICE OF RECORDATION OF ASSIGNMENT DOCUMENT

THE ENCLOSED DOCUMENT HAS BEEN RECORDED BY THE ASSIGNMENT DIVISION OF THE U.S. PATENT AND TRADEMARK OFFICE. A COMPLETE MICROFILM COPY IS AVAILABLE AT THE ASSIGNMENT SEARCH ROOM ON THE REEL AND FRAME NUMBER REFERENCED BELOW.

PLEASE REVIEW ALL INFORMATION CONTAINED ON THIS NOTICE. THE INFORMATION CONTAINED ON THIS RECORDATION NOTICE REFLECTS THE DATA PRESENT IN THE PATENT AND TRADEMARK ASSIGNMENT SYSTEM. IF YOU SHOULD FIND ANY ERRORS OR HAVE QUESTIONS CONCERNING THIS NOTICE, YOU MAY CONTACT THE EMPLOYEE WHOSE NAME APPEARS ON THIS NOTICE AT 703-308-9723. PLEASE SEND REQUEST FOR CORRECTION TO: U.S. PATENT AND TRADEMARK OFFICE, ASSIGNMENT DIVISION, BOX ASSIGNMENTS, CG-4, 1213 JEFFERSON DAVIS HWY, SUITE 320, WASHINGTON, D.C. 20231.

RECORDATION DATE: 06/21/2001

REEL/FRAME: 011951/0291
NUMBER OF PAGES: 3

BRIEF: ASSIGNMENT OF ASSIGNOR'S INTEREST (SEE DOCUMENT FOR DETAILS).

ASSIGNOR:

O'HALLORAN, STEVE

DOC DATE: 06/15/2001

ASSIGNOR:

NORRIE, ROSS

DOC DATE: 06/15/2001

ASSIGNEE:

ASSETMETRIX, INC.
105 MURRAY STREET
OTTAWA, ONTARIO K1N 5M5, CANADA

SERIAL NUMBER: 09886687
PATENT NUMBER:

FILING DATE: 06/21/2001
ISSUE DATE:

MAURICE CARTER, EXAMINER
ASSIGNMENT DIVISION
OFFICE OF PUBLIC RECORDS

07-11-2001

IN THE UNITED



DEMARK OFFICE

PATENT

101772895

Watts, Hoffmann, Fisher & Heinke Co., L.P.A.
Suite 2850, 100 Erieview Plaza
Cleveland, Ohio 44114-1824
Telephone: (216) 623-0775
Docket No.: 15-925



Commissioner of Patents and Trademarks
Washington, D. C. 20231

Dear Sir:

MRD
6-21-01

Please record the attached original document(s) or copy thereof as follows:

Conveying Party: Steve O'Halloran and Ross Norrie

Receiving Party: AssetMetrix, Inc.

Address: 105 Murray Street

Ottawa, Ontario K1N 5M5 Canada

Nature of Conveyance: Assignment

Date of Execution: June 15, 2001

Please record against:

Patent No(s): _____

Application No(s): not yet assigned

No. of Patent(s): 0 No. of Application(s): 1

09886687

If this document is being filed together with a new application, the execution date of the application is: June 15, 2001. (Payment for the recordal fees has been included in the amount of \$623.00 accompanying the application and is authorized to charge deposit account no. 23-0630.)

To my best knowledge and belief, the foregoing information is true and correct. Please correspond with Watts, Hoffmann, Fisher & Heinke at the above address.

Respectfully submitted,

Paul A. Serbinowski
Registration No. 34,429

Date

6/21/01

EC 39152199445

ASSIGNMENT

Whereas Steve O'Halloran and Ross Norrie (hereinafter "the Assignors"), whose full post office addresses are 3246 George Street, Osgoode, Ontario, K0A 2W0 Canada and 1379 Sutherland Drive, Manotick, Ontario K4M 1B3 Canada, respectively, have made an invention which is the subject of U.S. Patent Application entitled *Software and Hardware Component Audit and Inventory Management System* (hereinafter "the Patent Application");

Whereas AssetMetrix, Inc. (hereinafter "the Assignee"), whose full post office address is 105 Murray Street, Ottawa, Ontario, K1N 5M5, Canada, is desirous of acquiring the whole right, title and interest in and to the Patent Application, and the invention or inventions described therein;

Now therefore, in consideration of one dollar (\$1.00), and other good and valuable consideration, the receipt and sufficiency of which is hereby acknowledged, the Assignors hereby sell, assign and transfer to the Assignee, all their right, title and interest, in the United States of America and all other countries and jurisdictions throughout the world, in and to the Patent Application, and the invention or inventions described therein, including their corresponding right to claim priority from the Patent Application in any country or jurisdiction, including all their corresponding right, title and interest in and to any Patents which may issue therefrom, and including all right to sue for past infringement thereunder, all the said Patents to be held and enjoyed by the said Assignee to the full end of the term for which the said Patents are granted, as fully and entirely as the said Patents could have been held and enjoyed by the said Assignors if this Assignment had not been made.

The Assignors and the Assignee hereby authorize the firm of Gowling Lafleur Henderson LLP, whose full post office address is 160 Elgin Street, Suite 2600, Ottawa, Ontario K1P 1C3, Canada, and its assignees, to correct errors in this Assignment, or to insert any further identification or other information necessary or desirable to make this Assignment suitable for recordal in any Patent Office. The Assignor and the Assignee hereby authorize and request that Gowling Lafleur Henderson LLP or its assignees, insert here in parentheses (U.S. Patent Application Serial No. _____) the application number of the said Patent Application when known.

SIGNED at Ottawa, Canada, this 15th day of June, 2001.
 (City/Town) (Country) (Date) (Month) (Year)

Scott Cullen
 (Signature of Witness)

Scott Cullen
 (Name of Witness: Please Print or Type)

STEVE O'HALLORAN

[Signature]
 (Signature of Assignor)

SIGNED at Ottawa, Canada, this 15th day of June, 2001.
 (City/Town) (Country) (Date) (Month) (Year)

Scott Cullen
 (Signature of Witness)

Scott Cullen
 (Name of Witness: Please Print or Type)

ROSS NORRIE

[Signature]
 (Signature of Assignor)

The undersigned Assignee hereby accepts this Assignment.

SIGNED at Ottawa, Canada, this 15th day of June, 2001.
 (City/Town) (Country) (Date) (Month) (Year)

ASSETMETRIX, INC.

[Signature]
 (Signature of Authorized Signing Officer)

Steve O'Halloran
 (Name of Authorized Signing Officer: Please Print or Type)

VP Business Development
 (Title of Authorized Signing Officer: Please Print or Type)